Serial No.

Filing Date

Group Art Unit

Control Leonard Forbes

10/681,408

Filing Date

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**Examiner Name** 

Confirmation No.

Attorney Docket No.

GENERAL
TRANSMITTAL
FORM UNDER 37 CFR 1.8
(LARGE ENTITY)

MAY 1 8 2004

PRADEMAR

Title: VERTICAL NROM HAVING A STORAGE DENSITY OF 1 BIT PER 1F2

Unknown

400.250US01

7405

Mail Stop: AMENDMENT Commissioner for Patents P. O. Box 1450

Alexandria, VA 22313-1450

#### **Enclosures**

### The following documents are enclosed:

X Information Disclosure Statement (1 pg.); Form 1449 (7 pgs.); 58 copies of cited references; U.S. reference(s) not included pursuant to 37 C.F.R. 1.98 (c)(2)(i);

X An itemized return-receipt postcard

Leffert Jay & Polglaze, P.A. P.O. Box 581009 Minneapolis, MN 55458-1009 T - 612/312-2200 F - 612/312-2250

# Please charge any additional fees or credit any overpayments to Deposit Account No. 501373.

### **CUSTOMER NO. 27073**

| Submitted By   |                             |          |        |           |                |  |
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| Name   | Kenneth W. Bolvin           | Reg. No. | 34,125 | Telephone | (612) 312-2211 |  |
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| Name   | Rhonda L. Foley             | Signa    | ture A | handel    | to O. M        |  |

(LARGE ENTITY TRANSMITTAL UNDER 37 CFR § 1.8)

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|----|----------------------|--------------------|--------------------------|
| -  | First Named Intentor | Leonard Forbes     |                          |
| 15 | Serial No.           | 10/681,408         | INFORMATION              |
|    | Filing Date          | October 8, 2003    | DISCLOSURE               |
|    | Group Art Unit       | 2818               | STATEMENT                |
|    | Examiner Name        | Unknown            |                          |
|    | Confirmation No.     | 7405               |                          |
|    | Attorney Docket No.  | 400.250US01        |                          |
|    | Title: VERTICAL NRO  | M HAVING A STORAGE | DENSITY OF 1 BIT PER 1F2 |

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In compliance with 37 C.F.R. §§ 1.56 and 1.97, et seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified Application. Pursuant to 37 C.F.R. 1.98 (a)(2)(ik), as this application was filed after June 30, 2003, Applicant has not included copies of U.S. Patents or U.S. Patent Applications. Applicant respectfully requests that this Information Disclosure Statement be entered and the references listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to MPEP §609, Applicant further requests that the Examiner initial next to each reference on the Form 1449 to indicate that the listed references have been considered. Applicant further requests that a copy of the initialed Form 1449 be returned with the next official communication.

As an Office Action has not yet issued in this application, Applicant believes that no fees are due. However, the Commissioner for Patents is hereby authorized to charge any additional fees to Deposit Account No. 501373. If the Examiner has any questions or concerns regarding this application, please contact the undersigned at (612) 312-2211.

Respectfully submitted,

Date:

Kenneth W. Bolvin

Reg. No. 34,125

Attorneys for Applicant Leffert Jay & Polglaze

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First Named Inventor Leonard Forbes
Serial No. 10/681,408
Filing Date October 8, 2003
Group Art Unit 2818
Examiner Name Unknown
Onfirmation No. 7405
Attorney Docket No. 400.250US01

## INFORMATION DISCLOSURE STATEMENT FORM PTO-1449

Mitle: VERTICAL NROM HAVING A STORAGE DENSITY OF 1 BIT PER 1F2

Sheet 1 of 7

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| Examiner  | Date  |
|---|---|
| Signature   | Considered  |
| *Examiner: Initial if citation considered, whether or not citatic | on is in conformance with MPEP 609; Draw line through citation if not |

| First Named Inventor | Leonard Forbes      |                       |  |  |  |
|----------------------|---------------------|-----------------------|--|--|--|
| Serial No.           | 10/681,408          | INFORMATION DISCLOSUR |  |  |  |
| Filing Date          | October 8, 2003     | 1                     |  |  |  |
| Group Art Unit       | 2818                | STATEMENT             |  |  |  |
| Examiner Name        | Unknown             | FORM PTO-1449         |  |  |  |
| Confirmation No.     | 7405                | 1                     |  |  |  |
| Attorney Docket No.  | 400.250US01         | 1                     |  |  |  |
| Title: VERTICAL NR   | OM HAVING A STORAGE | Sheet 2 of            |  |  |  |
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| Examiner     |  | Date            |                                      |
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| Signature    |  | Considered      |                                      |
| *Examiner: I | nitial if citation considered, whether or not citation is in conforman | ce with MPEP 60 | 9; Draw line through citation if not |

| First Named Inventor  | Leonard Forbes  |                        |
|---|-----------------|------------------------|
| Serial No.  | 10/681,408      | INFORMATION DISCLOSURE |
| Filing Date   | October 8, 2003 |                        |
| Group Art Unit  | 2818            | STATEMENT              |
| Examiner Name   | Unknown         | FORM PTO-1449          |
| Confirmation No.  | 7405            | 1                      |
| Attorney Docket No.   | 400.250US01     | 1                      |
| Title: VERTICAL NROM HAVING A STORAGE<br>DENSITY OF 1 BIT PER 1F2 |                 | Sheet 3 of 7           |

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| Examiner   | Foreign Patent |            | Name                        | Publication | Translation |
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| Examiner       |  | Date                |                                      |
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| Signature      |  | Considered          |                                      |
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| Attorney Docket No.                      | 400.250US01                   | ·                      |
| Title: VERTICAL NR<br>DENSITY OF 1 BIT P | OM HAVING A STORAGE<br>ER 1F2 | Sheet 4 of 7           |

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| Signature   |                                    | Considered      |                                    |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not |                                    |                 |                                    |
| in conformance and not considered. Include copy of this form with next communication to applicant.                                    |                                    |                 |                                    |
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| First Named Inventor                     | Leonard Forbes                |                        |
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| Filing Date                              | October 8, 2003               |                        |
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| Confirmation No.                         | 7405                          | ] .                    |
| Attorney Docket No.                      | 400.250US01                   |                        |
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| Examiner  | Date       |  |  |
|---|------------|--|--|
| Signature   | Considered |  |  |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not |            |  |  |
| in conformance and not considered. Include copy of this form with next communication to applicant.                                    |            |  |  |
| Based on Form PTO-FB-A820 Patent and Trademark Office, U.S. Department of Commerce  |            |  |  |

| First Named Inventor                     | Leonard Forbes                |                        |
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| Examiner Name                            | Unknown                       | FORM PTO-1449          |
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| Attorney Docket No.                      | 400.250US01                   |                        |
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| First Named Inventor                     | Leonard Forbes                |                        |
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| Signature   |  | Considered |  |
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